

CERTIFICATE OF OWNERSHIP FOR AN ENTITY OR TRUST (NON-NATURAL PERSON)

Completion of this form is required when a Non-Natural person, such as a trust/corporation/entity owns an annuity.

Type of Entity that will own the Annuity: (check one)

Trust

Taxable Entities

Tax-Exempt/Deferred Entities

Irrevocable Trust

Corporation

Qualified Pension Plan Trust

Revocable/Living Trust

Partnership/LLP

Type _____

Charitable Trust

LLC

Entity Information

Name _____

Tax Identification Number _____ Date of Trust _____

Address _____ State _____ Zip Code _____

NOTE: The purpose of this form is to ensure non-qualified annuity contracts owned by a non-natural person or an entity will be tax-reported pursuant to the IRS Code, Section 72(u). Under this code, an annuity contract owned by an entity (other than a natural person) generally is not treated as an annuity contract for federal income purposes. Moreover, the earnings are considered taxable when credited to the contract for that calendar year whether they are withdrawn from the contract or not.

There are exceptions to this general rule. It is possible that one will apply to your situation. If an exception does apply, your contract will be treated (for federal tax purposes) as an annuity. Thus, the earnings will not be taxed until you withdraw them from the contract or not.

Whom May Act on Behalf of the Entity

Is there more than one authorized individual who can act on behalf of the entity named above?

YES or NO

If there is more than one authorized individual, does the agreement establishing the entity expressly provide that each of the authorized individuals can act independently and without consent of the other individuals authorized to act on behalf of the entity?

YES or NO

If yes, by signing this agreement the authorized individuals hereby certify that the Serb National Federation is authorized to follow the instructions of any one authorized individual.

If no, the Serb National Federation shall require the signatures of all individuals to conduct any transaction pertaining to this annuity.

The undersigned authorized individuals hereby certify that they have the power to enter into transactions to purchase annuities as well as exercise all ownership rights under the annuity contract including, but not limited to, taking withdrawals, changing beneficiaries, changing ownership, and/or surrendering the contract.

The SNF does NOT offer any TAX or LEGAL advice. Please consult with your Tax Advisor and/or Legal Counsel.

Certification, Indemnification, and Agreement

The undersigned authorized individuals, represent, warrant, and certify that, the representations made in this certificate are true, complete, and that the entity is active and in compliance with all state and federal governing laws.

The Serb National Federation will rely on this certificate and shall not be held liable for any action taken pursuant to and in reliance on this certificate or on the representations made herein. The undersigned hereby agree, both individually and on behalf of the entity (which they represent), to jointly and severally indemnify the Serb National Federation, its affiliates, assigns, officers, directors, employees, and agents from, and to hold such persons harmless against, any claims, losses, judgments, defense, or settlement amounts, or other losses/liability arising from or related to any acts or omissions taken by the Serb National Federation and in reliance upon the representations contained in this certificate.

The undersigned agree to send written notice promptly to the Serb National Federation of any changes in the authorized individuals or their powers to act for the entity, any change in the underlying entity, such as bankruptcy or dissolution, which would affect the authorized individuals and/or their ability to act on behalf of the entity.

Signatures of ALL Individuals of the Entity (Authorized to exercise control of the Annuity)

_____	_____
Printed Name of Authorized Individual	Signature of Authorized Individual
_____	_____
Printed Name of Authorized Individual	Signature of Authorized Individual
_____	_____
Printed Name of Authorized Individual	Signature of Authorized Individual
_____	_____
Printed Name of Authorized Individual	Signature of Authorized Individual
_____	_____
Printed Name of Authorized Individual	Signature of Authorized Individual

Executed this on _____, _____, _____
Month Day Year

This document may contain information pertaining to general tax reporting requirements and procedures. This information does not constitute tax advice and shall not modify the terms and conditions of your contract. The SNF does NOT offer any legal and/or tax advice. Please consult with your Tax Advisor and/or Legal Counsel.

Additional Documents needed.

For Entities, attach a copy of the corporate resolution creating the entity (articles of incorporation/operation agreement) and a copy of the corporate resolution showing who may sign/act on behalf of the entity. The corporate secretary shall authenticate these documents and the corporate seal be affixed validating all documents.

For Trusts, enclose a copy of the front page and the signature page of the trust. The entire trust document does not need to be sent.